

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

1991-221

First Inventor

Andrew David Charles

Title Novel Compound

☒ Applicant for Published Patent Application:

AstraZeneca AB
Sodertalje, Sweden

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner of Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
3. ☒ Specification Total pages [17]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross references to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 USC 113) (Total Sheets) []
5. ☒ Oath or Declaration (Total Pages) [2]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application
(37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
6. ☒ Application Data Sheet.

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program
8. Nucleotide and/or Amino Acid Sequence Submission
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☒ paper (9 pages)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement
(when there is an assignee)
 - ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement /PTO 1449
 - ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s).
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).
17. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in an application Data Sheet under 37 CFR 1.76::

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/722,342

Prior application information: Examiner Daniel M. Sullivan Group/Art Unit 1636
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

☒ Customer Number or Bar Code Label 6449

| | | | | | |
|-----------|---|-----------|--------------|--------------------|--------------|
| Address | Rothwell, Figg, Ernst & Manbeck Suite 800, 1425 K Street, N.W. | | | | |
| City | Washington | State | D.C. | Zip Code | 20005 |
| Country | U.S.A. | Telephone | 202-783-6040 | Fax | 202-783-6031 |
| Name | Barbara G. Ernst, Reg. No. 30,377 | | | | |
| Signature | Barbara G. Ernst | | | Date July 25, 2003 | |

21906 U.S. PTO
10/621633

07/28/03

07/28/03
15915 U.S. PTO

**FEE TRANSMITTAL
for FY 2003
(Large Entity)**

Complete if Known

| | | | | |
|---|------|----------------------|------------------------|-------------------|
| FEE TRANSMITTAL for FY 2003 (Large Entity) | | Application Number | | |
| | | Filing Date | | |
| | | First Named Inventor | | Andrew D. Charles |
| | | Examiner Name | | |
| | | Group Art Unit | | |
| Total Amount of Payment | (\$) | 1,966.00 | Attorney Docket Number | 1991-221 |

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck
- ☒ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status
2. ☒ Payment Enclosed
- ☒ Check
- ☐ Credit Card

FEE CALCULATION

1. FILING FEE

| Code | Fee | Fee Description | Fee Paid |
|------|-----|------------------------|----------|
| 1001 | 750 | Utility filing fee | [750.00] |
| 1002 | 330 | Design Filing Fee | [] |
| 1003 | 520 | Plant Filing Fee | [] |
| 1004 | 750 | Reissue Filing Fee | [] |
| 1005 | 160 | Provisional Filing Fee | [] |

SUBTOTAL \$ 750.00

2. CLAIMS

| | Extra Claims | Fee | Fee |
|---------------------------|--------------------------|--------|----------|
| Paid: | | | |
| Total Claims | [30] - 20** = [10] x | \$18 = | [180.00] |
| Independent Claims | [12] - 3** = [9] x | 84 = | [756.00] |
| Multiple Dependent Claims | + | 280 = | [280.00] |

**or number previously paid, if greater;

SUBTOTAL \$ 1,216.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Code | Fee | Fee Description | Fee Paid |
|------|--------|--|----------|
| 1051 | 130 | Surcharge - late filing fee or oath | [] |
| 1052 | 50 | Surcharge - late provisional filing fee or cover sheet | [] |
| 1053 | 130 | Non-English specification | [] |
| 1812 | 2,520 | For filing a request for reexamination | [] |
| 1804 | 920 | Requesting publication of SIR prior to Examiner action | [] |
| 1804 | 1,840* | Requesting publication of SIR after Examiner action | [] |
| 1251 | 110 | Extension for reply within first month | [] |
| 1252 | 410 | Extension for reply within second month | [] |
| 1253 | 930 | Extension for reply within third month | [] |
| 1254 | 1,450 | Extension for reply within fourth month | [] |
| 1255 | 1,970 | Extension for reply within fifth month | [] |
| 1401 | 320 | Notice of Appeal | [] |
| 1402 | 320 | Filing a brief in support of an appeal | [] |
| 1403 | 280 | Request for Oral Hearing | [] |
| 1451 | 1,510 | Petition to institute a public use proceeding | [] |
| 1452 | 110 | Petition to revive -unavoidable | [] |
| 1453 | 1,300 | Petition to revive - unintentional | [] |
| 1501 | 1,300 | Utility issue fee (or reissue) | [] |
| 1502 | 470 | Design issue fee | [] |
| 1503 | 630 | Plant issue fee | [] |
| 1460 | 130 | Petitions to the Commissioner | [] |
| 1807 | 50 | Processing fee under 37 CFR 1.17(q) | [] |
| 1806 | 180 | Submission of Information Disclosure Statement | [] |
| 8021 | 40 | Recording each patent assignment per property (times number of properties) | [] |
| 1809 | 750 | Filing a submission after final rejection (37 CFR .129(a)) | [] |
| 1810 | 750 | For each additional invention to be examined (37 CFR 1.129(b)) | [] |
| 1801 | 750 | Request for Continued Examination (RCE) | [] |
| 1802 | 900 | Request for expedited examination of a design application | [] |
| 1504 | 300 | Publication fee for early, voluntary, or normal publication | [] |
| 1505 | 300 | Publication fee for republication | [] |
| 1455 | 200 | Filing application for patent term adjustment | [] |
| 1456 | 400 | Request for reinstatement of term reduced | [] |
| | | Other fee (specify) | [] |

* Reduced by Basic Filing Fee Paid

SUBTOTAL \$

| | | | |
|----------------------|-----------------------------------|--------------------------|---------------|
| SUBMITTED BY | | Complete (if applicable) | |
| NAME AND REG. NUMBER | Barbara G. Ernst, Reg. No. 30,377 | | |
| SIGNATURE | Barbara G Ernst | DATE | July 25, 2003 |
| | | DEPOSIT ACCOUNT USER ID | |